

# Gaming Supplier and Gaming Service Provider

## BUSINESS DISCLOSURE

LGA Use Only

Please complete each section of this form. If there is insufficient space, attach a separate sheet referring to the section. The Liquor and Gaming Authority of Manitoba (LGA) reserves the right to request the submission of any additional information or documents as required. If you have any questions, please contact the LGA's Commercial Licensing Department at 204-927-5300, toll-free 1-800-782-0363 or [licence@LGCAMB.ca](mailto:licence@LGCAMB.ca)

### SECTION A: Business Entity

1.  Gaming Supplier  Gaming Service Provider

2. **Legal name**

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3. **Trade name** (if different)

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4. **Corporation number** \_\_\_\_\_

5. **Website** \_\_\_\_\_

6. **Physical address**

# AND STREET	CITY/TOWN	PROVINCE	COUNTRY	POSTAL CODE

PHONE	FAX	EMAIL

7. **Mailing address** (if different than above)

# AND STREET/P.O. BOX #	CITY/TOWN	PROVINCE	COUNTRY	POSTAL CODE

### SECTION B: Description of Business

8. **Provide a brief description of your business.**

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Liquor and Gaming  
Authority of Manitoba

[www.LGAManitoba.ca](http://www.LGAManitoba.ca)

**9. Proposed recipient of goods/and or services.** Check all that apply:

- |  |  |
|--|--|
| <input type="checkbox"/> Manitoba Liquor & Lotteries | <input type="checkbox"/> South Beach Casino    |
| <input type="checkbox"/> Aseneskak Casino            | <input type="checkbox"/> Gaming Event Licensee |
| <input type="checkbox"/> Sand Hills Casino           | <input type="checkbox"/> Other _____           |

**10. Describe the goods/services being provided.**

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### SECTION C: Contact Person

**11. Contact Details**

LAST NAME	FIRST NAME	POSITION

# AND STREET	CITY/TOWN	PROVINCE	COUNTRY	POSTAL CODE

BUSINESS PHONE	MOBILE PHONE	FAX	EMAIL

### SECTION D: Business Structure

**12. Business Structure**

- |  |  |
|--|--|
| <input type="checkbox"/> Privately Held Corporation  | <input type="checkbox"/> Limited Partnership |
| <input type="checkbox"/> Publicly Traded Corporation | <input type="checkbox"/> Sole Proprietorship |
| <input type="checkbox"/> Partnership                 | <input type="checkbox"/> Other _____         |

**Your application must include a copy of business documentation for the applicant business entity.**

This can include Articles of Incorporation, charter, by-laws, corporate annual return, partnership agreement, shareholders' agreement or registration of business name.

### SECTION E: Previous Names and Addresses

**13. List all trade names and addresses under which the applicant has conducted business for the past five years:**

- a) \_\_\_\_\_
- b) \_\_\_\_\_
- c) \_\_\_\_\_

## SECTION F: Criminal Proceedings

14. Has the applicant ever been charged with any criminal offence in any jurisdiction?  YES  NO

If yes, provide details.

DATE	CHARGE	DISPOSITION (e.g. conviction, stay of proceedings, withdrawn)

15. Does the applicant have any charges pending in any jurisdiction?  YES  NO

If yes, provide details.

DATE	CHARGE

16. Provide the name and address of any court where proceedings were/will be held and the name and address of the investigative agency.

Court \_\_\_\_\_

Investigative Agency \_\_\_\_\_

## SECTION G: Civil Proceedings

17. Has the applicant had any claims made against it based in whole or in part on fraud, deceit, misrepresentation, breach of trust or similar conduct in any jurisdiction in the past five years?  YES  NO

If yes, provide the following details.

a) Description of claim: \_\_\_\_\_

\_\_\_\_\_

b) Names of other parties involved: \_\_\_\_\_

c) Name and address of court: \_\_\_\_\_

d) Date of proceedings: \_\_\_\_\_

e) Court file number: \_\_\_\_\_

f) Outcome of proceedings: \_\_\_\_\_

\_\_\_\_\_



## SECTION H: Financial Information

**18. Please ensure that the following financial information is included with this application. Incomplete submissions will result in longer processing times.**

- Audited financial statements for the past three years.
- All annual and/or consolidated annual reports submitted to shareholders and partners and all annual filings with stock exchanges and security commissions for the past three years.
- List of all financial institutions in which the applicant has had business accounts in the last five years. Provide the name and address of the institution, type of account and account number.
- List of all companies, business organizations, entities or individuals which hold 10% or more shares in the applicant entity, along with any companies to which the applicant owes long-term debt.
- List of any persons or businesses that have been loaned monies, equipment or assets by the applicant. Provide the entity name and address, date of loan, amount of asset loaned, reason for loan and the loan status.
- Organizational chart depicting the relationship between all parent, controlling, subsidiary and affiliated companies.

## SECTION I: Bankruptcy and Insolvency Proceedings

**19. Has the applicant been declared bankrupt, filed a petition, or had a petition filed against it for any type of bankruptcy or insolvency under any law in any jurisdiction in the last five years?**  YES  NO

If yes, provide the following details.

- a) Name and address of filing party: \_\_\_\_\_
- b) Date petition filed: \_\_\_\_\_
- c) Name and address of court: \_\_\_\_\_
- d) Name and address of receiver and/or trustee: \_\_\_\_\_

## SECTION J: Licensing/Registration History

**20. Has the applicant ever applied to any licensing agency in any jurisdiction for any permit, licence, registration or qualification in connection with gaming?**  YES  NO

If yes, provide the following information.

DATE OF APPLICATION	NAME OF LICENSING AGENCY, ADDRESS OF LICENSING AGENCY, TYPE OF LICENCE	DISPOSITION (e.g. approved, revoked, denied)



**21. Has the applicant ever had a licence or registration in connection with gaming refused, suspended or revoked in any jurisdiction?**  YES  NO

If yes, provide the following information.

DATE OF APPLICATION	NAME OF LICENSING AGENCY, ADDRESS OF LICENSING AGENCY, TYPE OF LICENCE	DISPOSITION/REASON FOR ACTION

### SECTION K: Principals

**22. Provide the full legal name and position of any principals of the applicant.** The LGA reserves the right to request personal disclosures from any persons it deems necessary. The LGA considers principals to be:

- a) officers and directors of a corporation
- b) partners of a partnership
- c) individual and corporate general partners of a limited partnership
- d) any person responsible to direct the affairs of the organization
- e) any person who directly or indirectly holds 10% or more shares of the applicant business entity

FULL LEGAL NAME	POSITION	% OWNERSHIP (if applicable)

## Consent for Release of Information

The LGA is required to conduct investigations for the purpose of licensing gaming service providers and gaming suppliers in Manitoba. In order to comply with the requirements set forth in *The Liquor and Gaming Control Act* to complete or verify the information provided in this application, representatives of the LGA may be required to collect and/or receive additional information from some or all of the following domestic and/or foreign sources:

- a) federal, provincial, municipal or state licensing bodies or police services
- b) other law enforcement agencies or sheriff's offices
- c) Office of the Superintendent of Bankruptcy
- d) credit bureaus
- e) financial institutions
- f) industry associations
- g) former or current employers
- h) government ministries or agencies

The information collected in this application may be used and disclosed as follows:

- a) to evaluate the applicant's financial, business and criminal history
- b) information on the applicant, individuals and enterprises identified in connection with the applicant may be shared with Manitoba government officials who are assisting the LGA in the evaluation of this application
- c) the LGA may provide such information to law enforcement agencies and gaming regulators, for gaming-related investigations or clearances

I hereby consent for my personal information to be collected from any of the individuals listed above. I further consent to the release of information which may be obtained through the licensing and investigation process to law enforcement agencies and to gaming and liquor regulators for the aforementioned purposes.

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Signature	Position	Date
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Print Name

## Investigation Authorization

I \_\_\_\_\_, on this \_\_\_\_\_ day of \_\_\_\_\_  
(Please print name) (Date) (Month)

in the year \_\_\_\_\_, hereby authorize the LGA or its authorized representative to conduct an investigation into the applicant's background using whatever legal means it deems appropriate. Any person or agency requested to provide lawful information to the LGA is hereby authorized to provide such information in a lawful manner.

The LGA may provide the information disclosed in this licensing application or collected during the course of an investigation to law enforcement agencies or gaming regulators for gaming-related investigations or clearances.

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Signature	Position	Date
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Print Name



## Ongoing Security Screening

The applicant understands that, if the applicant is licensed, the LGA reserves the right to conduct ongoing investigations of the applicant including, but not limited to, checks for outstanding criminal charges, a criminal records check and a credit check, as required.

This authorization shall be in effect during the course of the licence. The applicant agrees to give the LGA, as and when requested, any additional authorization that may be required by the LGA or others for the purpose of permitting the LGA to conduct such ongoing investigations.

The applicant has been advised that refusal to provide any such additional authorization may be grounds for revocation or suspension of any licence with the LGA.

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Signature

Position

Date

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Print Name

Please send this form directly to the LGA's Commercial Licensing Department at 1055 Milt Stegall Drive, Winnipeg, Manitoba R3G 0Z6, by email to [licence@LGCamb.ca](mailto:licence@LGCamb.ca) or by fax to 204-927-5385.

## PRIVACY NOTICE

The LGA is committed to protecting your privacy. The personal information you are providing on this form is collected under the authority of *The Liquor and Gaming Control Act*, Regulations and section 36(1)(a) of *The Freedom of Information and Protection of Privacy Act*. The information is strictly for the use of the LGA to process your application, assess your eligibility for a licence and for enforcement purposes.

Please be advised that the LGA may make the following information public: your organization's full legal name, address, LGA licence number, event location(s), date(s) and prize information.

Your personal information is protected by *The Freedom of Information and Protection of Privacy Act*. Any other use, and any disclosure, of your personal information by the LGA must be authorized by you or must be authorized under *The Freedom of Information and Protection of Privacy Act*.

If you have any questions about the collection of your personal information, please contact the LGA's Freedom of Information and Protection of Privacy Coordinator at 204-927-5300 or [FIPPAcoordinator@LGCamb.ca](mailto:FIPPAcoordinator@LGCamb.ca).