

Gaming Supplier and Gaming Service Provider

ANNUAL UPDATE APPLICATION

SECTION A: Business Entity

1. Gaming Supplier Gaming Service Provider

2. **Legal name**

3. **Trade name** (if different)

4. **Corporation number** _____

5. **Physical address**

# AND STREET	CITY/TOWN	PROVINCE	COUNTRY	POSTAL CODE

PHONE	FAX	EMAIL

6. **Mailing address** (if different than above)

# AND STREET/P.O. BOX #	CITY/TOWN	PROVINCE	COUNTRY	POSTAL CODE

SECTION B: Description of Business

7. **Recipient of goods/and or services.** Check all that apply:

Manitoba Liquor & Lotteries

South Beach Casino

Aseneskak Casino

Gaming Event Licensee

Sand Hills Casino

Other _____

8. **Describe the goods/services being provided.**



Liquor and Gaming
Authority of Manitoba

www.LGAmanitoba.ca

SECTION C: Contact Person

9. Name and Position

LAST NAME	FIRST NAME	POSITION

# AND STREET	CITY/TOWN	PROVINCE	COUNTRY	POSTAL CODE

BUSINESS PHONE	MOBILE PHONE	FAX	EMAIL

SECTION D: Criminal Proceedings

10. Since the last application, has the entity been charged with any criminal offence in any jurisdiction?

YES NO If yes, provide details.

DATE	CHARGE	DISPOSITION (e.g. conviction, stay of proceedings, withdrawn)

11. Does the entity have any charges pending in any jurisdiction? YES NO If yes, provide details.

DATE	CHARGE

12. Provide the name and address of any court where proceedings were/will be held and the name and address of the investigative agency.

Court _____

Investigative Agency _____



SECTION E: Civil Proceedings

13. Does the entity have any claims against it based in whole or in part on fraud, deceit, misrepresentation, breach of trust or similar conduct in any jurisdiction? YES NO If yes, provide details.

- a) Date of proceedings: _____
 - b) Claim and name of involved parties: _____
 - c) Name and address of court: _____
 - d) Court file number: _____
 - e) Outcome of proceedings: _____
- _____
- _____

SECTION F: Financial Information

14. Please ensure that the following financial information is included with this application. Incomplete submissions will result in longer processing times.

- Copy of the entity's most current annual audited financial statement.
- List of all companies, business organizations and other entities or individuals which hold 10% or more shares in the licensed entity.
- List of any persons or businesses that have been loaned monies, equipment or assets by the licensed entity. Provide the entity name and address, date of loan, amount of asset loaned, reason for the loan and loan status.
- Any changes to the original organizational chart depicting the relationship between all parent, controlling, subsidiary and affiliated companies, as applicable.

SECTION G: Bankruptcy and Insolvency Proceedings

15. Since the last application, has the entity been declared bankrupt, filed an assignment, had an assignment filed against it, or had a receiver of assets appointed for any type of bankruptcy or insolvency under any law in any jurisdiction? YES NO If yes, provide details.

- a) Name and address of filing party: _____
- b) Date petition filed: _____
- c) Name and address of court: _____
- d) Name and address of receiver and/or trustee: _____



SECTION H: Licences/Registrations in Other Jurisdictions

16. Update the entity's current licences or registrations with any gaming jurisdictions, since your last application:

DATE OF APPLICATION	NAME OF LICENSING AGENCY, ADDRESS OF LICENSING AGENCY, TYPE OF LICENCE	DISPOSITION

SECTION I: Principals

17. Provide a current list of the following:

- | | |
|---|--|
| a) officers and directors of a corporation | d) any person responsible to direct the affairs of the organization |
| b) partners of a partnership | e) any person who directly or indirectly holds 10% or more shares of the applicant business entity |
| c) individual and corporate general partners of a limited partnership | |

Personal disclosures will be required for any individuals who fit at least one of the groups listed above and who have not previously applied to the LGA.

FULL LEGAL NAME	POSITION

SECTION J: Licensing and Investigative Fees

18. Licensing fees are assessed on an annual basis and must be remitted as requested. Licensing fees are based on the type of product or service provided. An investigative fee is assessed to cover the investigative expenses associated with processing of the annual update.

TYPE OF PRODUCT/SERVICE	ANNUAL LICENCE FEE	ANNUAL INVESTIGATIVE FEE
Electronic Gaming Machines	\$5,000	\$300
Gaming Equipment	\$500	\$300
Gaming Management Services to a Gaming Operator	\$5,000	\$300
Gaming Management Services to a Gaming Event Licensee	\$150	\$150
Gaming Tables	No fee	No fee
Lottery Ticket Terminals	\$5,000	\$300
Security and Surveillance Systems	\$5,000	\$300
Ticket Sales and Verification Devices	\$500	\$300
Video Lottery Terminals	\$5,000	\$300

All fees must be payable in **Canadian funds** to the "Liquor and Gaming Authority of Manitoba". Payments may be made by cheque, money order, wire transfer or credit card. Please contact the LGA for further information.



Consent for Release of Information

The LGA is required to conduct investigations for the purpose of licensing gaming service providers and gaming suppliers in Manitoba. In order to comply with the requirements set forth in *The Liquor and Gaming Control Act* to complete or verify the information provided in this application, representatives of the LGA may be required to collect and/or receive additional information from some or all of the following domestic and/or foreign sources:

- a) federal, provincial, municipal or state licensing bodies or police services
- b) other law enforcement agencies or sheriff's offices
- c) Office of the Superintendent of Bankruptcy
- d) credit bureaus
- e) financial institutions
- f) industry associations
- g) former or current employers
- h) government ministries or agencies

The information collected in this application may be used and disclosed as follows:

- a) to evaluate the applicant's financial, business and criminal history.
- b) information on the applicant, individuals and enterprises identified in connection with the applicant may be shared with Manitoba government officials who are assisting the LGA in the evaluation of this application.
- c) the LGA may provide such information to law enforcement agencies and gaming regulators, for gaming-related investigations or clearances.

I hereby consent for my personal information to be collected from any of the individuals listed above. I further consent to the release of information which may be obtained through the licensing and investigation process to law enforcement agencies and to gaming and liquor regulators for the aforementioned purposes.

Signature

Position

Date

Print Name

Investigation Authorization

I _____, on this _____ day of _____, in
(Please print name) (Date) (Month)

the year _____, hereby authorize the LGA or its authorized representative, to conduct an investigation into the applicant's background using whatever legal means it deems appropriate. Any person requested to provide lawful information to the LGA is hereby authorized to provide such information in a lawful manner.

The LGA may provide the information disclosed in this application or collected during the course of an investigation to law enforcement agencies or gaming and liquor regulators for gaming- or liquor-related investigations or clearances.

Signature

Position

Date

Print Name



Ongoing Security Screening

The applicant understands that, if the applicant is licensed, the LGA reserves the right to conduct ongoing investigations of the applicant including but not limited to checks for outstanding criminal charges, a criminal records check and a credit check, as required.

This authorization shall be in effect during the course of the licence. The applicant agrees to give the LGA, as and when requested, any additional authorization that may be required by the LGA or others for the purpose of permitting the LGA to conduct such ongoing investigations.

The applicant has been advised that refusal to provide any such additional authorization may be grounds for revocation or suspension of any licence with the LGA.

Signature	Position	Date
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Print Name

Please send this form directly to the LGA's Gaming Licence Department at 1055 Milt Stegall Drive, Winnipeg, Manitoba R3G 0Z6, by email to licence@LGCamb.ca or by fax to 204-927-5385.

PRIVACY NOTICE

The LGA is committed to protecting your privacy. The personal information you are providing on this form is collected under the authority of *The Liquor and Gaming Control Act*, Regulations and section 36(1)(a) of *The Freedom of Information and Protection of Privacy Act*. The information is strictly for the use of the LGA to process your application, assess your eligibility for a licence and for enforcement purposes.

Please be advised that the LGA may make the following information public: your organization's full legal name, address, LGA licence number, event location(s), date(s) and prize information.

Your personal information is protected by *The Freedom of Information and Protection of Privacy Act*. Any other use, and any disclosure, of your personal information by the LGA must be authorized by you or must be authorized under *The Freedom of Information and Protection of Privacy Act*.

If you have any questions about the collection of your personal information, please contact the LGA's Freedom of Information and Protection of Privacy Coordinator at 204-927-5300 or FIPPAcoordinator@LGCamb.ca.