# Racing Participant Licence Application

We use the information in this application to assess your eligibility for a licence. You must submit a non-refundable fee with your application. Licence types and fees are listed in question 2.

NOTE: Attach a separate sheet if you need additional space for any responses.

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SECTION A: Racing Details					
1. Indicate the type of racing	in which you will be partic	ipating:			
☐ Thoroughbred	☐ Standardbred				
2. Indicate the licence type(s)	for which you are applyin	g (check all that apply	<b>y</b> ):		
☐ Jockey (\$100 fee)	☐ Assistant Trainer (\$100 fee)				
☐ Apprentice Jockey (\$100 fee	e) Driver (\$25 fee)				
☐ Jockey Agent (\$100 fee)	☐ Racing participant:				
Owner (\$100 fee)	☐ Groom (\$25 fee)				
☐ Trainer (\$100 fee)	☐ Exercise Rider (\$25 fee)				
<ul> <li>is for multiple licence types, include only the fee indicated for the licence type having the highest dollar value fee among the types for which you are applying.</li> <li>3. Have you held a licence in connection to horse racing in any capacity within the past 5 years?   YES  NO</li> <li>If yes, provide the following details:</li> </ul>					
TYPE OF LI	CENCE	PROVINCE/STATE	YEARS		
SECTION B: Applicant Information 4. Identification:					
LAST NAME	FIRST NAME	MIDDLE NA	AME(S)		
LAST NAME	FIRST NAME	MIDDLE NA	AME(S)		





5. Citizenship:						
Canadian American Other: (specify)						
6. Address and Contact Inform	nation:					
Permanent address:				PROVINCE/		
# AND STRI	EET	CITY/	TOWN	STATE	POSTAL CODE	
Present address (if different from a	above):		'			
# AND STR			TOWN	PROVINCE/	POSTAL CODE	
# AND STA	EE1	GITT	TOWN	STATE	POSTAL CODE	
HOME PHONE MOBI	ILE PHONE B	USINESS PHON	IE	EM	AIL	
7. Marital status: MUST be con	nnleted if annlying	for a jockey o	owner tra	ainer or drive	r licence	
☐ Married		owed	JWIIOI, tit	anion, or anivo	i ilociiloci	
☐ Common Law	_	orced				
☐ Single		7100u				
-				P 11.		
Name of spouse:		Maiden	name (if a	applicable):		
8. Employment Information:						
Assistant trainers, grooms or ex	xercise riders MUS	T list their em	ployer(s)	and the licen	ce type(s) they	
are acting as for that employer:						
NAME OF YOUR EMPI	LOYER(S)	LIC	ENCE TY	PE YOU ARE A	CTING AS	
Owners and trainers must list their employees acting as any licence type except jockey agent and the						
employees for whom they are requesting an access pass.						
NAME OF YOUR EMPI	LOYEE(S)		LICENCE	TYPE OF EMPL	_OYEE	
NOTE: Attach a separate sheet if you need additional space.						





## **SECTION C: Licensing History and Background** 9. Have you ever had a licence or an application for a licence in relation to horse racing, refused, suspended or revoked? ☐ YES □NO If yes, provide details: \_\_\_\_ 10. Have your ever been expelled from any race track? ☐ YES ☐ NO If yes, provide details: \_\_\_\_ 11. Have you or your spouse (if applicable) ever been convicted of an offence against any rules of racing in any jurisdiction? ☐ YES ☐ NO If yes, provide details: 12. Unless you have received a pardon, you must list all charges you have had since the age of 18, regardless of the outcome. This includes charges that were stayed, dropped, withdrawn and even if you were advised by a lawyer that you would not have a criminal record. Failure to thoroughly and honestly disclose such history may result in the licence being denied. Have you, in any jurisdiction, been: YES Arrested ☐ YES ☐ NO Charged with any criminal offence, whether guilty or not ☐ YES ☐ NO Convicted of any criminal offence, regardless of the sentence If yes, provide details: DATE DISPOSITION **CHARGE/OFFENCE** (e.g., ruling, conviction, stay of proceedings) (MM/DD/YYYY) **SECTION D: Ownership MUST** be completed if applying for an owner licence. 13. List all horses, wholly- or partly-owned or leased by you, which are registered for the upcoming racing year, the percentage owned and the trainer. Any changes in horse ownership must be immediately reported to the LGCA. **NAME OF HORSE** % OWNERSHIP **TRAINER**

If you acquire additional horses or percentage ownership in a horse for the current racing year, full details must be provided to the LGCA before starting such horse(s).

**NOTE**: If horses have multiple owners, a Registration of Partnership must be applied for and approved by the LGCA.





Will your horse(s) be racing under	☐ YES ☐ NO					
If yes, provide the name of the stable:						
NOTE: If racing under a stable name, a Registration of Stable Name must be applied for and approved by the LGCA.						
If no, provide the name in which the horse(s) will race:						
NOTE: If racing under a partnership, a Registration of Partnership must be applied for and approved by the LGCA.						
Will you be appointing an authorized agent? ☐ YES ☐ NO						
If yes, provide the name of the person:						
NOTE: If appointing an authorized agent, an Appointment of Authorized Agent must be applied for and approved by the LGCA.						
SECTION E: Training MUST be completed if applying for a trainer or assistant trainer licence.						
14. Provide the names of the horses in your charge, their ownership and stable name:						
NAME OF HORSE	OWNERSHIP (e.g., individual, partnership)	STABLE NAME (if applicable)				

### **SECTION F: Authorization and Consent**

#### **RULES OF RACING**

I hereby agree to abide by the rules of racing and directives of the Liquor, Gaming and Cannabis Authority of Manitoba (LGCA), and to accept and abide by the rulings and decisions of the LGCA and its stewards, judges, and racing officials. I consent to the transmission and/or publication of such decisions and rulings, which may include personal information about me such as my name and the content of the decision or ruling to the media, public and other racing authorities, jurisdictions or regulatory bodies.

#### **BODILY SUBSTANCE TESTING**

I understand that, if I am applying for a jockey, driver or exercise rider participant licence under The Liquor, Gaming and Cannabis Control Act (C.C.S.M. c. L153), the LGCA and its racing officials may, as a condition of receiving a participant licence, at any time require me to submit to testing of my breath, saliva, urine or other bodily substances for alcohol and other drugs for the purpose of ensuring the safety of horse racing and compliance with the rules of racing pursuant to subsection 101.45(b) of The Liquor, Gaming and Cannabis Control Act.

I understand that, pursuant to subsection 19(1) of the Horse Racing Regulation, jockeys, drivers and exercise riders may be tested for alcohol and other drugs, which may require me to provide samples of my breath, urine, blood or other bodily substance if I am a jockey, driver or exercise driver. The bodily substance testing may occur:

- a) at regularly scheduled intervals;
- b) based on a random selection; and
- c) if there are reasonable grounds to suspect that a person may be under the influence of alcohol or drugs.





I understand that in order to conduct testing of my bodily substances, the LGCA will engage Intrinsic Analytics, a third-party laboratory under contract, for the collection and testing of my bodily substances on its behalf. The results of my bodily substance tests will be disclosed by the Intrinsic Analytics to the LGCA and will not be disclosed outside of the LGCA.

#### **AUTHORIZATION**

It may be necessary for the LGCA to share some of the personal information you have provided with entities and organizations outside of the LGCA, and/or to collect additional information about you from outside entities and organization, to assess your eligibility to be granted a licence, or to continue being licensed, under The Liquor, Gaming and Cannabis Control Act. The LGCA will use the results of background investigations to complete or verify the information you provided in this application.

The LGCA will conduct background investigations when you first apply for a licence under The Liquor, Gaming and Cannabis Control Act and periodically during the term of your licence.

Your consent is required so that personal information about you can be indirectly collected and disclosed by the LGCA under the authority of The Freedom of Information and Protection of Privacy Act and The Liquor, Gaming and Cannabis Control Act, including:

- · name:
- · home address;
- · telephone number;
- · current and previous employment information;
- · credit history;
- · criminal background; and
- · licensing or registration history.

#### **CONSENT**

I consent and authorize the entities and organizations below to disclose personal information about me to the LGCA for the purposes of conducting background investigations:

- · law enforcement agencies;
- credit bureaus:
- · industry associations; and
- · former and current employers; and
- federal, provincial and municipal government departments or agencies.

I consent to the LGCA collecting my personal information from these entities and organizations, and to the LGCA disclosing my personal information to these entities and organizations as may be necessary to obtain the information the LGCA requires to conduct background investigations for licensing purposes under The Liquor, Gaming and Cannabis Control Act.

I consent to the LGCA disclosing my personal information contained in this application or collected during the course of its investigation to law enforcement agencies, or to horse racing regulators for horse racing-related investigations.

I consent to the LGCA disclosing my personal information and personal health information to third-party laboratories to conduct bodily substances sampling and testing to ensure the safety of horse racing and compliance with the rules of racing. I consent to the laboratories disclosing to the LGCA, and the LGCA collecting, the results of the testing of by bodily substances for the purposes of ensuring the safety of horse racing and compliance with the rules of racing and to determine my eligibility to receive a racing participant licence under The Liquor, Gaming and Cannabis Control Act.





#### **UNDERSTANDING**

I understand that:

- I have the right to withdraw my consent at any time by notifying the LGCA. My consent cannot be withdrawn retroactively;
- I cannot provide partial consent or withdraw part of my consent to the disclosure and collection of my personal information;
- My consent is voluntary; however refusal to provide consent may be grounds for the denial of my application, or revocation or suspension of any licence(s) granted by the LGCA;
- My consent continues until I notify the LGCA that I withdraw my consent;
- The outside entities and organizations referred to above that will collect and disclose my personal information will be instructed not to use or disclose the information, except for the purposes noted above;
- The LGCA will disclose and collect only as much information about me to these entities and organizations as is necessary; and
- The personal information received by the LGCA will be kept in a confidential file and access to the information will be limited to individuals conducting the LGCA's background investigations.

#### **DECLARATION**

I certify that all of the information provided in this application is true and complete. I understand that any false or inaccurate statements or omissions made in this application may disqualify me, the applicant business, or the agent, from receiving a licence under The Liquor, Gaming and Cannabis Control Act.

☐ Check this box and typ signature electronically	e your name in the area labelled "Signature of A	pplicant", if you want to submit your
Name of Applicant	Signature of Applicant	Date (MM/DD/YYYY)
	I acknowledge that I may have to confirm my identity and the authenticity of this signature, should it be contested	

#### SUBMISSION INSTRUCTIONS

Email, fax or mail this application to:

Email: horseracing@LGCAmb.ca Fax: 204-927-5385 or 1-866-999-6688 (Manitoba only toll-free fax)

Mailing address: LGCA - Horse Racing Department

1055 Milt Stegall Drive, Winnipeg, Manitoba, R3G 0Z6





#### **PRIVACY NOTICE**

The LGCA is collecting your personal information to conduct investigations for the purposes of licensing prospective and current licensees in Manitoba under The Liquor, Gaming and Cannabis Control Act (C.C.S.M. c. L153).

The LGCA is authorized to collect your personal information under subsections 36(1)(a) and (b) of The Freedom of Information and Protection of Privacy Act (C.C.S.M. c. F175), because the collection is authorized by The Liquor, Gaming and Cannabis Control Act and your personal information relates to and is necessary for the LGCA's background investigations. Your personal information will only be used by the LGCA to process your application, to assess your eligibility to receive and maintain a licence, and for enforcement purposes under The Liquor, Gaming and Cannabis Control Act.

Your personal information is protected by The Freedom of Information and Protection of Privacy Act. The LGCA cannot use or disclose your personal information for other purposes, unless you consent or the LGCA is authorized to do so by The Freedom of Information and Protection of Privacy Act.

If you have any questions or concerns about the LGCA's collection of your personal information, please contact the LGCA's Freedom of Information and Privacy Protection Coordinator at FIPPAcoordinator@LGCAmb.ca, or 204-927-5300 or 1-800-782-0363 (Manitoba only toll-free phone).



